



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fish

Docket No.: AOL0143

Serial No. : 10/685,747

Art Unit: 2171

Filed: 10/14/2003

Examiner: Unassigned

Title: Method and System for Trust-Based,
Fine-Grained Rate Limiting of Network Requests

Dated: February 27, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
 - () Certification under 37 CFR 1.97(e), or
 - () a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
 - () Certification under 37 CFR 1.97(e), and
 - () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0143). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



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Receipt date: 02/27/2004

10685747 - GAU: 2162

Attorney Docket No. AOL0143



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Attorney Docket No.	Serial No.:
	AOL0143	10/685,747
	Applicant:	
	Fish	
	Filing Date:	Group:
	10/14/2003	2171

U.S. Patent Documents

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	B	5,974,412	10/26/1999	Hazlehurst, et al	707	3	9/24/1997
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	Q	1 288 795 A1	3/05/2003	EP	G06F	17/30	X	

Other Documents

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	S	SHAVLIK, J. et al.; <i>Building Intelligent Agents for Web-Based Tasks: A Theory-Refinement Approach</i> ; University of Wisconsin-Madison;
	T	SHAVLIK, J. et al.; <i>Intelligent Agents for Web-based Tasks: An Advice-Taking Approach</i> ; University of Wisconsin-Madison;

	U	BAUDISCH, P.; <u>The Profile Editor: Designing a Direct Manipulative Tool for Assembling Profiles</u> ; Institute for Integrated Information and Publication Systems IPSI, German National Research Center for Information Technology GMD, Germany;
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	W	BUDZIK, J. et al.; <u>Watson: Anticipating and Contextualizing Information Needs</u> ; Northwestern University;
	X	GLOVER, E.J. et al.; <u>Improving Category Specific Web Search by Learning Query Modifications</u> ; NEC Research Institute, Princeton, NJ, EECS Department, University of Michigan, Ann Arbor, MI, Information Sciences and Technology, Pennsylvania State University;
	Y	PAZZANI, M.J. et al.; <u>A Framework for Collaborative, Content-Based and Demographic Filtering</u> ; Department of Information and Computer Science, University of California, Irvine;
	Z	BAUER, T. et al.; <u>Real Time User Context Modeling for Information Retrieval Agents</u> ; Computer Science Department, Indiana University;
	AA	SHAVLIK, J. et al.; <u>An Instructable, Adaptive Interface for Discovery and Monitoring Information on the World-Wide Web</u> ; University of Wisconsin-Madison;
	BB	BUDZIK, J. et al.; <u>Watson: An Infrastructure for Providing Task-Relevant, Just-In-Time Information</u> ; Department of Computer Science, Northwestern University;
	CC	NAHL, D.; <u>Ethnography Of Novices First Use Of Web Search Engines: Affective Control In Cognitive Processing</u> ; Internet Reference Services Quarterly, vol. '3, no. '2, p. '51-72; 1998;

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/Giovanna Colan/

09/16/2009